

PTO/SB/21 (03-03)


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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/533,029	
	Filing Date	3/22/2000	
	First Named Inventor	HEARD, Jacqueline E.	
	Art Unit	1636	
	Examiner Name	David H. Kruse	
Total Number of Pages in This Submission	7	Attorney Docket Number	MBI-0010 CPA

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Jeffrey M. Libby, Ph.D., Reg. No. 48,251 Mendel Biotechnology, Inc. 21375 Cabot Blvd., Hayward, CA 94545
Signature	
Date	August 8, 2003

CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed	Kathleen K. Muto	
Signature		Date <u>8-8-03</u>

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PTO/SB/17 (01-03)

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**FEE TRANSMITTAL
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) -0-**Complete if Known**

Application Number	09/533,029
Filing Date	3/22/2000
First Named Inventor	HEARD, Jacqueline E.
Examiner Name	David H. Kruse
Art Unit	1638
Attorney Docket No.	MBI-0010 CPA

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number
Deposit Account Name

50-1025

Mendel Biotechnology, Inc

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ 0.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		

SUBTOTAL (2) (\$ 0.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES****Large Entity - Small Entity**

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	Jeffrey M. Libby, Ph.D.	Registration No. (Attorney/Agent)	48,251	Telephone	510-259-8138
Signature		Date	Aug 8, 2003		

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21375 Cabot Boulevard
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Ph: (510) 264-0280 Fax: (510) 264-0254

**Mendel
Biotechnology, Inc.**

Fax

To:	Examiner David H. Kruse, Group Art Unit: 1638	From:	Jeffrey M. Libby
Fax:	(703) 746-5068	Pages:	9 (including cover)
Phone:	(703) 306-4539	Date:	August 15, 2003
Re:	ATTY DOCKET NO.: MBI-0010 CPA U.S. APPLICATION NO.: 09/533,029, filed March 22, 2000		
		CC:	

Dear Examiner Kruse:

Attached is our Response to Advisory Action in the above-referenced case, along with:

- a) Transmittal;
- b) Transmittal Fee Sheet; and
- c) Copy of "Auto-Reply Facsimile Transmission" received by our office on 8/8/03 at 1:25 PM (PDT).

Respectfully,

Jeffrey M. Libby, Ph.D., Registration No. 48,251

JML/kkm

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510 264 0254 RPT-FAX

Page 001

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AUG. 8. 2003 9:32AM

MENDEL-BIOTECHNOLOGY

NO. 739 P. 1

21375 Cabot Boulevard
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**Mendel
Biotechnology, Inc.**

To: U.S. PATENT AND TRADEMARK OFFICE
ATTN: Examiner David H. Kruse
Group Art Unit 1638

Facsimile: (703) 872-9307
(After Final)
Telephone: (703) 306-4539

From: Jeffrey M. Libby, Ph.D.,

Date: August 8, 2003

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Kathleen M. Korte
Kathleen M. Korte

RE: APPLICATION NO. 08/031,035

POCKET NO.: MBI-0018

FILED: March 22, 2000

TITLE: TRANSGENIC PLANTS COMPRISING POLYNUCLEOTIDES ENCODING
TRANSCRIPTION FACTORS THAT CONFER DISEASE TOLERANCE

Enclosures:

- 1) Transmittal Form (1 pg);
- 2) Pre-Transmittal Form (1 pg); and
- 3) Response to Advisory Action and Amendment (5 pgs).

Respectfully,

Jeffrey M. Libby
Jeffrey M. Libby, Ph.D. (Reg. No. 48,291)

Received from < 510 264 0254 > at 08/08 1:19:50 PM [Eastern Daylight Time]

Received from < 5102640297 > at 8/15/03 2:27:17 PM [Eastern Daylight Time]